



Applie	ant or Patentee: Fong, et al. Attorney's Docket No. MSKP031USNP
	or Patent No.: 09/381,556 Filed or Issued: September 21, 1999
For:_	the state of the s
• •	VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
	(37 CFR 1.9(f) and 1.27(d)) - NONPROFIT ORGANIZATION
. E heret	y declare that I am an official empowered to act on behalf of the nonprofit organization identified below:
	NAME OF ORGANIZATION Sloan-Kettering Institute for Cancer Research
	ADDRESS OF ORGANIZATION 1275 York Avenue
	New York, NY 10021
TYPE	OF ORGANIZATION
	() UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION
	(X) TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODB (26 USC 501(a) and 501(c)(3))
	() NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA
• •	(NAME OF STATE) (CITATION OF STATUTE)
	() WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC
	S01(a) and 501(c)(3)) IF LOCATED IN THE UNITED STATES OF AMERICA
•	() WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF
o O	STATE OF THE UNITED STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA
	(NAME OF STATE)
Ф. И	(CITATION OF STATUTE)
년년 전환 herch	y declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of
	reduced fees under Section 41(a) and (b) of Title 35, United States Code with regard to the above-captioned invention which is described in
	() the specification filed herewith
JT I	
IJ	(X) Application Serial No. <u>09/381,556</u> , filed <u>September 21, 1999</u> () Patent No, issued
T hereb	y declare that the rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above
	ed invention. If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the
	on is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business
concer	under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit
_ organiz	ation under 37 CFR 1.9(e). *Note: Separate verified statements are required from each named person, concern or organization having rights
to the i	nvention averting to their status as small entities. (37 CFR 1.27)
	Tamba C.: Oudula
Ì	NAME_James.S. Quirk
	ADDRESS 1275 York Avenue, New York, NY 10021
	() INDIVIDUAL () SMALL BUSINESS CONCERN (X) NONPROFIT ORGANIZATION
Lackno	wledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status
	paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no
	appropriate. (37 CFR 1.28(b)). I hereby declare that all statements made herein of my own knowledge are true and that all statements made
	rmation and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and
	so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful
	atements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.
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·. ·	
NAME	OF PERSON SIGNING James S. Quirk
TITLE	Senior Vice President, Research Resources Management
ADDR	ESS OF PERSON SIGNING 1275 York Avenue, New York, NY. 10021
SIGNA	TURE SAMES OUL DATE 10/26/99
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OPPEDAHL & LARSON LLP

		Refer to the control of the control
Applica	ant or Patentee: Fong , et al.	Attorney's Docket No. MSKP031USNP
Serial o	or Patent No.:	Filed or Issued:
For:	Rapid Production of Autologous Turn	or Vaccines by Using HSV Amplicon Vectors
	VERIFIED STATEM	IENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
	(37 CFR	1.9(f) and 1.27(d)) - NONPROFIT ORGANIZATION
I hereby	y declare that I am an official empowered to	act on behalf of the nonprofit organization identified below:
	NAME OF ORGANIZATION	University of Rochester
	ADDRESS OF ORGANIZATION	518 Hylan Building
TVDE	OF ORGANIZATION	Rochester, NY 14627
irec	OF ORGANIZATION (Y) UNIVERSITY OF OTHER INSTITUTE (Y) UNIVERSITY OF OTHER INSTITUTE	Y METONS OF THE CLUB PROVIDENCE OF THE CONTROL OF T
	(X) UNIVERSITY OR OTHER INSTIT	DEVICE OF HIGHER EDUCATION
	() NONDBORIT SCIENTIFIC OF EDIT	REVENUE SERVICE CODE (26 USC 501(a) and 501(c)(3))
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		N THE UNITED STATES OF AMERICA
		T SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF
		OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA
J	(NAME OF STATE)
J j	(NAME OF STATE (CITATION OF STATUTE	<u> </u>
Lhereby	declare that the nonprofit organization idea	otified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of
paying re	reduced fees under Section 41(a) and (b) of	Title 35, United States Code with regard to the above-captioned invention which is described in
A	() the specification filed herewith	
7 1	(X) Application Serial No.	, filed
	() Patent No.	, issued
hereby	declare that the rights under contract or lav	v have been conveyed to and remain with the nonprofit organization with regard to the above
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ก็ร้อลทเรลเ	ation under 37 CFR 1.9(a) or by any concern will	ich would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit everified statements are required from each named person, concern or organization having rights
to the inv	evention averring to their status as small enti	ties. (37 CFR 1.27)
	NAME	
	ADDRESS	
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he like s	so made are punishable by fine or imprison	nent, or both, under Section 1001 of Title 18 of the United States Code, and that such willful
alse state	tements may jeopardize the validity of the ar	oplication, any patent issuing thereon, or any patent to which this verified statement is directed.
		. — Transport of any parameter which this volumes statement is discussed.
NAME O	OF PERSON SIGNING <u>Mark Cob</u>	urn
א וייני	Interum Director, Off	ice of Technology Transfer
	F10	
DDRES	SS OF PERSON SIGNING 518 Hy	lan Builkding, Rochester, NY 14627
IGNATI	TURE MAULO	DATE 10-4-99
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